

Key Information – FSP website Disclosures

AUTHORISED FINANCIAL SERVICES PROVIDER

Walter Tullis & Associates is an authorized financial services provider (FSP number **8264**) in terms of Section 8 of the FAIS Act.

A copy of our license certificate is available on request.

FSP Name	Walter Tullis & Associates	
Registration number	1996/037234/23	
Contact person	Walter Tullis	
Email	tulliswt@iafrica.com / wta@tullis.co.za	
Telephone number	013 690 1600	

COMPLIANCE OFFICER

Name	Infinitus Risk Management Services	
Telephone number	082 413 8579	
Email address	erika@infinitus.co.za	
Website	www.infinitus.co.za	

Please contact us for a copy of our Statutory Disclosure Notice

CONFLICT OF INTEREST MANAGEMENT POLICY

Walter Tullis & Associates has adopted and implemented a Conflict of Interest Management policy that complies with the provisions of the FAIS Act. The Conflict of Interest Management policy is published on our website. You can also email tulliswt@iafrica.com to obtain a copy of it.

COMPLAINTS PROCEDURE

Should you wish to pursue a complaint against a key individual or representative of **Walter Tullis & Associates**, you should address the complaint in writing to us at wta@tullis.co.za.

If you cannot settle your complaint with us, you are entitled to refer it to the Office of the FAIS Ombud, at info@faisombud.co.za or telephone number 0860 324 766. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial advisor.

TCF POLICY

Our Treating Customers Fairly policy is centred around the guidelines provided by the Financial Services Board to ensure we consistently deliver fair outcomes to our clients and take responsibility for the business and staff providing an enhanced service quality to clients, based on a culture of openness and transparency. As a business, we take the requirements of the FSB seriously, in particular, the requirement to treat customers fairly.

PRIVACY POLICY

Walter Tullis & Associates is committed to maintaining the privacy and security of its customers' personal and private information. Our privacy policy outlines our practices and commitment to the customer and can be read under Privacy Policy.

PAIA MANUAL

This information manual provides an outline of the types of records held by **Walter Tullis & Associates** and explains how one may submit requests for access to these records in terms of the Promotion of Access to Information

Act, 2 of 2000 ("the Act"). It can be read 4 PIAI

WEBSITE DISCLAIMER

Whilst every care is taken to ensure that the information on **Walter Tullis & Associates website is current and accurate, please view the Website Disclaimer to read our full disclaimer notice.**